

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of New York

Hawaii Sheet Metal Workers Health & Welfare
Fund, Hawaii Sheet Metal Workers Training Fund,
Hawaii Sheet Metal Workers Annuity Fund, and
Hawaii Sheet Metal Workers Pension Fund, et. al.,

Plaintiff(s)

v.

Intercontinental Exchange, Inc., Intercontinental
Exchange Holdings, Inc., ICE Benchmark
Administration Limited (f/k/a NYSE Euronext Rate
Administration Limited), et. al,

Defendant(s)

Civil Action No. 1:19-cv-2002

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* See attached Schedule A.

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

David R. Scott
Scott+Scott Attorneys at Law LLP
230 Park Avenue, 17th Floor
New York, New York 10169

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 3/5/2019

/S/ P. NEPTUNE

Signature of Clerk or Deputy Clerk

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Civil Action No. 1:19-cv-2002

PROOF OF SERVICE*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Barclays plc
 was received by me on *(date)* 3/22/2019.

☐ I personally served the summons on the individual at *(place)* _____
 _____ on *(date)* _____; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* _____
 _____, a person of suitable age and discretion who resides there,
 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☒ Other *(specify)*: I caused the Summons and Complaint to be delivered via an international courier service,
 who, upon delivery, requested and obtained an adult signature on behalf of Barclays plc

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: 3/25/2019

Katherine M. Vogel
Server's signature

Katherine M. Vogel, Paralegal
Printed name and title

Lowey Dannenberg, P.C.
44 South Broadway, Suite 1100
White Plains, NY 10601
Server's address

Additional information regarding attempted service, etc:

UPS Internet Shipping: View/Print Label

1. Ensure there are no other shipping or tracking labels attached to your package. Select the Print button on the print dialog box that appears. Note: If your browser does not support this function select Print from the File menu to print the label.
2. Fold the printed label at the solid line below. Place the label in a UPS Shipping Pouch. If you do not have a pouch, affix the folded label using clear plastic shipping tape over the entire label.
3. GETTING YOUR SHIPMENT TO UPS
Customers with a Daily Pickup
Your driver will pickup your shipment(s) as usual.
Customers without a Daily Pickup
Schedule a same day or future day Pickup to have a UPS driver pickup all of your Internet Shipping packages.
Hand the package to any UPS driver in your area.
Take your package to any location of The UPS Store®, UPS Access Point(TM) location, UPS Drop Box, UPS Customer Center, Staples® or Authorized Shipping Outlet near you. Items sent via UPS Return Services(SM) (including via Ground) are also accepted at Drop Boxes. To find the location nearest you, please visit the 'Find Locations' Quick link at ups.com.

FOLD HERE

LOWEY DANNENBERG, P.C. 914-997-0500 241 LOWEY DANNENBERG, P.C. 44 SOUTH BROADWAY WHITE PLAINS NY 10601 UNITED STATES	1.5 LBS LTR 1 OF 1 SHIP#: F037 SEVN 7PH SHIP WT: 1.5 LBS DATE: 22 MAR 2019
SHIP TO: ROBERT F. HOYT, GENERAL COUNSEL 442071166664 BARCLAYS PLC 1 CHURCHILL PLACE LONDON E145HP UNITED KINGDOM	
GBR 613 9-00 	
UPS SAVER 1P TRACKING #: 1Z F03 75F DA 9861 7062	
BILLING: P/P ADULT SIGNATURE REQUIRED DESC: Legal Documents Reference#: 1: BARPLC US 21.0.23. WNTNVS0 09.04.01/2019 	
EDI-DOC	

Proof of Delivery

Dear Customer,

This notice serves as proof of delivery for the shipment listed below.

Tracking Number

1ZF0375FDA98617062

Weight

1.50 LBS

Service

UPS Worldwide Saver®

Shipped/Billed On

22/03/2019

Additional Information

Adult Signature Required

Delivered On

25/03/2019 8:32

Delivered To

LONDON, GB

Received By

ORATIO

Left At

Mail room

Thank you for giving us this opportunity to serve you. Details are only available for shipments delivered within the last 120 days. Please print for your records if you require this information after 120 days.

Sincerely,

UPS

Tracking results provided by UPS: 25/03/2019 8:22 EST